



1FW 2137

PTO/SB/21 (09-04) (AW 10/2004)

Approved for use through 7/31/2006. OMB 0651-0031

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| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 10/017,973 |
| | Filing Date | December 7, 2001 |
| | First Named Inventor | Mitsuaki Oshima et al. |
| | Art Unit | 2137 |
| | Examiner Name | Paul E. Callahan |
| | Attorney Docket No. | MTS-520US5 |
| Total Number of Pages in This Submission | | |

| ENCLOSURES (Check all that apply) | | |
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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement (Supplemental) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO Form SB/08a and 08b a- References EU Search Report Return Postcard |
| Remarks: | | |

| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT | | | |
|---|--------------------|------------------|--------|
| Firm Name | RatnerPrestia | | |
| Signature | | | |
| Printed Name | Lawrence E. Ashery | | |
| Date | March 23, 2007 | Registration No. | 34,515 |

| CERTIFICATE OF TRANSMISSION / MAILING | | | |
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| Signature | | | Date |
| Typed or Printed Name | Beth Johnson | Date | March 23, 2007 |

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MTS-520US5

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/017,973
Applicant: Mitsuaki OSHIMA, et al.
Filed: December 7, 2001
Title: MARK FORMING APPARATUS, METHOD OF FORMING LASER MARK
ON OPTICAL DISK, REPRODUCING APPARATUS, OPTICAL DISK
AND METHOD OF PRODUCING OPTICAL DISK
TC/A.U.: 2137
Examiner: Paul E. Callahan
Confirmation: 9590
Docket No. MTS-520US5

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and PTO/SB/08b submitted herewith. A copy of each of the references listed on the PTO/SB/08a and PTO/SB/08b is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

The reference JP 01-243237 is not in the English language, and includes an English language abstract.

The reference U.S. 5,050,150 was cited in an IDS dated June 25, 2002.

The reference EP 0 549 488 was cited in an IDS dated May 23, 2003.

References listed on the attached PTO/SB/08a and PTO/SB/08b forms were cited by a foreign patent examining authority in connection with the examination of a counterpart of the above identified application. A copy of this European Search Report indicating the degree of relevance determined by the foreign patent office, are submitted herewith. MPEP 609 IIIA(3), second paragraph.

This Supplemental Information Disclosure Statement is being filed within three months of the filing date of an RCE or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,


Lawrence E. Ashery, Reg. No. 34,515
Attorney for Applicants

LEA/bj

Enclosures: PTO Form SB/08a and 08b (2 sheets)
European Search Report
(1) References
Transmittal Form

Dated: March 23, 2007

P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:
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22313-1450 on:
March 23, 2007


Beth Johnson

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(Use as many sheets as necessary)

PTO/SB/08a (07-05) (TMB 07/2005)

Complete if Known

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| Application Number | 10/017,973 |
| Filing Date | December 7, 2001 |
| First Named Inventor | Mitsuaki Oshima et al. |
| Art Unit | 2137 |
| Examiner Name | Paul E. Callahan |
| Attorney Docket No. | MTS-520US5 |

SHEET 1 of 2

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date (MM-DD-YYYY) | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|---|-------------------------------|---|---|-------------------------------------|
| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (If known) | | | | |
| | | EP 0 520 619 | 12-30-1992 | PIONEER ELECTRONIC CORP. | | <input checked="" type="checkbox"/> |
| | | JP 01 243237 (w/English Abstract) | 09-27-1989 | RICOH CO., LTD. | | <input type="checkbox"/> |
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| Examiner Signature | | Date Considered | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 2 of 2

Complete if Known

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| Application Number | 10/017,973 |
| Filing Date | December 7, 2001 |
| First Named Inventor | Mitsuaki Oshima et al. |
| Art Unit | 2137 |
| Examiner Name | Paul E. Callahan |
| Attorney Docket No. | MTS-520US5 |

NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
|-----------------------|--------------------------|--|--------------------------|
| | | European Search Report dated February 19, 2007. | <input type="checkbox"/> |
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¹Applicant's unique citation designation number (optional).

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